EXPRESS MAIL NO. EV447178523US

PTC-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES			ATTORNEY'S DOCKET NUMBER D8562-27
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.S. APPRICATION NO. 44 known see 374CFR 1.5)	
INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE		PRIORITY DATE CLAIMED	
PCT/U	S03/26238	08/22/2003	08/23/2002
TITLE OF INVENTION  Method and Apparatus for Noninvasively Evaluating Endothelial Function			
APPLICANT(S) FOR DO/EO/US Nachikat Wharalker and Martaga Nachayi			
Nachiket Kharalkar and Morteza Naghavi  Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
1. X This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.			
2.	This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.		
3. X	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.		
4. X	The US has been elected (Article 31).		
5. X	A copy of the International Application as filed (35 U.S.C. 371(c)(2))		
	a. is attached hereto (required only if not communicated by the International Bureau).		
	b. $\overline{X}$ has been communicated by the International Bureau.		
	c. $\overline{X}$ is not required, as the application was filed in the United States Receiving Office (RO/US).		
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).		
	a. is attached hereto.		
-	b. has been previously submitted under 35 U.S.C. 154(d)(4).		
7. X	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))		
	a.  are attached hereto (required only if not communicated by the International Bureau).		
	b. Have been communicated by the International Bureau.		
	c. have not been made; however, the time limit for making such amendments has NOT expired.		
	d. X have not been made and will not be made.		
8.	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).		
9. X	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).		
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
Items 11 to 20 below concern document(s) or information included:			
11. X	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.	
12. X	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.		
13.	A preliminary amendment.		
14.	An Application Data Sheet under 37 CFR 1.76.		
15. 📙	A substitute specification.		
16. X	A power of attorney and/or change of address letter.		
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.		
18.	A second copy of the published International Application under 35 U.S.C. 154(d)(4).		
19. 📙	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).		
20.	Other items or information:		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

## DT01 Rec'd PCT/PTC 2 3 FEB 2005

EXPRESS MAIL NO. EV447178523US

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. ARPLICATION NO (ILLINOTA, BEEF CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/US03/26238 D8562-27 CALCULATIONS PTO USE ONLY The following fees have been submitted 21. X Basic national fee......\$300 300 Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)......\$100 200 23. X Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100 ĺoò All other situations......\$500 600 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. RATE **Total Sheets** Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number) /50 = x \$250 - 100 = Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(h)). **CLAIMS** NUMBER FILED NUMBER EXTRA RATE \$ \$ 20 x \$50 Total claims -20 =0 3 0 \$ - 3 = \$200 Independent claims MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360 \$ 600 TOTAL OF ABOVE CALCULATIONS = \$ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. 300 300 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$ 300 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ 160 by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$ 460 Amount to be \$ refunded: Amount to be \$ 460 charged: A check in the amount of \$ \_ to cover the above fees is enclosed. Please charge my Deposit Account No.04-1679 in the amount of \$ 460to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1679. A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: CUSTOMER NO: (25397 Gary R. Maze **SIGNATURE** Duane Morris LLP Gary R. Maze 3200 Southwest Freeway, Suite 3150 NAME Houston, Texas 77027 42,851

REGISTRATION NUMBER

## UNITED STATES PATENT AND TRADEMARK OFFICE PATENT COOPERATION TREATY

International Application No.:

PCT/US03/26238

International Filing Date:

08/22/2003

Priority Date Claimed:

08/23/2002

Title of Invention:

Method and Apparatus for Noninvasively

**Evaluating Endothelial Function** 

Applicants:

Nachiket Kharalkar and Morteza Naghavi

PCT Receiving Office Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10

I hereby certify that the attached Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371, and all documents referred to as attached or enclosed are being mailed to the PCT Receiving Office, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, via the United States Postal Service Express Mail, Express Mail No. EV447178523US on February 23, 2005.

Respectfully submitted,

Gary R. Maze

Registration No. 42,851

Duane Morris LLP

3200 Southwest Freeway, Suite 3150

Houston, TX 77027

Telephone: 713.402.3900 Facsimile: 713.402.3901

Attorney Docket No.: D8562-27